Debating Maoism in Contemporary China: Reflections on Benjamin I. Schwartz, Chinese Communism and the Rise of Mao

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Abstract: Xi Jinping’s frequent references to Mao Zedong, along with Xi’s own claims to ideological originality, have fueled debate over the significance of Maoism in the PRC today. The discussion recalls an earlier debate, at the height of the Cold War, over the meaning of Maoism itself. This paper revisits that earlier controversy, reflected in arguments between Benjamin Schwartz and Karl Wittfogel, with an eye toward their contemporary relevance.

Keywords: Maoism, Totalitarianism, Benjamin Schwartz, Karl Wittfogel, Xi Jinping, ideology

Nearly a century after its founding in 1921, the Chinese Communist Party (CCP) again trumpeted the ideological contributions of its paramount leader. The insertion into the Party Constitution of a reference to “Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era” in 2018 was the first time since Mao Zedong (in 1945) that a sitting CCP leader received such recognition. Unlike Mao’s successor Deng Xiaoping, who famously pronounced that the (political) color of a cat did not matter so long as it caught mice, Xi Jinping donned the mantle of ideological authority once worn by Chairman Mao. Not surprisingly, contemporary observers ponder the continuing relevance of Maoism in post-Mao China.¹

The discussion of Xi Jinping’s Maoist tendencies evokes a previous debate, conducted during the Cold War, over the authenticity and import of Maoism itself. Benjamin I. Schwartz introduced the term “Maoism” into the English lexicon in his 1951 book Chinese Communism and the Rise of Mao.² Tracing the development of Chinese Communism in its early years, Schwartz argued that the essence of Maoist ideology reflected practical lessons drawn from the experience of concrete political struggle rather than derived from pure theory. Maoism, Schwartz proposed, was a pragmatic strategy of revolution that (in its initial iteration) grafted useful elements of Marxism-Leninism, most notably a disciplined and hierarchical Communist Party, onto a mobilized peasant mass base.³

While Schwartz described the CCP as “an elite of professional revolutionaries which has risen to power by basing itself on the dynamic of peasant discontent,” he focused not on the social and economic problems that had created the “objective conditions” for discontent, but on “the ideas, intentions and ambitions of those who finally assume the responsibility for meeting them.”⁴ In other words, Schwartz undertook a kind of intellectual/political/psychological history that situated his subjects in the world of strategic struggle rather than in some disembodied dialogue with the Marxist canon. His primary sources were the writings and speeches of the principal CCP leaders, the official resolutions and other documents issued by the Party, and the unofficial letters and memoirs of a wide
range of participants and engaged observers – in Chinese, Japanese, Russian, German and English. Beginning with the co-founders of the CCP, Chen Duxiu and Li Dazhao, and continuing with subsequent Communist leaders Qu Qiubai, Li Lisan and Wang Ming, Schwartz shows how the Party line shifted repeatedly in tandem with the changing political circumstances of the day and the predilections of paramount leaders. Only in the final two chapters (Chapters 12 and 13) does he address the ascendancy of Mao Zedong and his revolutionary strategy.

The discussion of Mao occupies less than 15% of the book, but it was Schwartz’s treatment of Maoism as a distinctive strategic ideology that sparked debate. Schwartz observes that Mao’s rural revolution was forced to deviate from the dictates of Marxist-Leninist orthodoxy after the Nationalists’ crackdown in 1927 cut the Communist Party off from its previous foothold among factory workers in Shanghai and other industrial cities. The CCP’s turn from urban proletariat to rural peasantry marked “the beginning of a heresy in act never made explicit in theory.” According to Schwartz, then, Maoism originated as an unacknowledged yet highly consequential departure in practice from the strictures of Soviet doctrine. A decade later, however, when war with Japan allowed the CCP to “harness nationalist sentiment to its own cause” there occurred a “profound change in the psychology of the Communist leadership which may itself spring from nationalist sentiment.” The result was that in wartime Yan’an “Mao was now sufficiently self-confident to take the initiative in the field of theoretical formulation . . . intent on proving that developments in China represented a unique and original development in the course of human history.”

With our twenty-twenty hindsight, Schwartz’s argument about the origins and evolution of Maoism seems commonsensical and incontrovertible. But that was not the situation when his book first appeared. Schwartz’s thesis that Mao’s revolutionary recipe “was not planned in advance in Moscow, and even ran counter to tenets of orthodoxy which were still considered sacrosanct and inviolate in Moscow at the time” directly challenged the reigning “totalitarian model” that depicted the People’s Republic of China as a replica of the USSR. His stress on the significance of Maoism as a distinctive ideology not only contradicted the proclamations of Soviet propagandists; it also disputed the firmly held beliefs of many vehemently anti-Soviet scholars. As Schwartz noted, “An immense effort is currently being made by orthodox Stalinist historiography to present the Chinese Communist success as the
result of Stalin’s own prescience and masterly planning. It is strange to note that this myth has been accepted and even insisted upon by many who regard themselves as the Kremlin’s bitterest foes.”

Schwartz’s challenge to a generic “totalitarian model,” applicable to Communist and fascist regimes alike, elicited dissent from its defenders. The most acerbic was a series of diatribes penned by Karl August Wittfogel, a former German Communist who had fled Hitler’s Third Reich to become a vocal critic of Communism in both the Soviet Union and China. A professor of Chinese history at the University of Washington, Wittfogel had gained notoriety in the field by accusing fellow Sinologist Owen Lattimore of Communist sympathies at the McCarran hearings on the “loss” of China. In Wittfogel’s view, Chinese Communism was a carbon copy of Russian Communism. He rejected the notion that Mao Zedong had been an innovator in any sense of the word; every strategic move and ideological justification that marked the Chinese revolutionary experience, he insisted, could already be found full-blown in Leninism. For Wittfogel, Chinese Communist doctrine did “not exhibit any originality, ‘Maoist’ or otherwise.”

Mao’s revolutionary road, according to Wittfogel, was Russian designed and Russian engineered. To be sure, Schwartz also credited Lenin with substantial influence on the course of Chinese Communism, but he did not believe that the Chinese revolution was merely the duplication of a familiar Bolshevik blueprint. As Schwartz replied, “Now it is, of course, true that Lenin opened the doors to all subsequent developments of world Communism. This does not mean, however, that he marched through all doors which he opened and that all the developments of Stalinism in the Soviet Union and of Maoism in China are simply untroubled applications of Lenin’s teachings.”

This early Cold War controversy over the meaning of Maoism, rather than an arcane
academic exercise, was actually a debate over the future of the Communist bloc. Schwartz’s contention that Maoism in China (like Titoism in Yugoslavia) reflected a departure from orthodox Russian doctrine anticipated the advent of fissures within the Communist world stemming from disparate national experiences and attendant “isms”: “the fate of doctrine may in the course of time have a profound effect on the relationship among Communist states such as China, Yugoslavia [sic] and the Soviet Union which are not directly subject to each other.”

Here was a prescient insight that Chalmers Johnson would later elaborate in Peasant Nationalism and Communist Power when he argued that the Chinese and Yugoslavian states’ defiance of Soviet domination was a product of their both having risen to power on the backs of peasant nationalist revolutions.

By the time that Johnson’s book was published in 1962, the Sino-Soviet split was already a visible fait accompli.

Occurring on the heels of the toxic Congressional hearings on the “loss” of China, the debate over Maoism reflected a deep divide in academic and policy circles. Advocates of the totalitarian model such as Wittfogel and some of his colleagues at the University of Washington asserted that Schwartz and his Harvard colleagues, in identifying the existence of an alternative Maoist path, constituted a dangerous cabal that – if not guilty of Maoist sympathies themselves – were at the very least naive about the Communist monolith. In the inaugural issue of The China Quarterly in 1960, Wittfogel’s “The Legend of Maoism” referred to the Harvard scholars as a “Maoist’ group” and detailed their inter-connections in a quasi-conspiratorial tone: “Suffice it to say that in substance the ‘Maoist’ thesis was first outlined in 1947 by John K. Fairbank; that Prof. Fairbank was the ‘teacher and guide’ of Benjamin Schwartz who in 1951 coined the term ‘Maoism’ and elaborated on its meaning; that Prof. Fairbank fulfilled editorial functions in the preparation of the Documentary History of Chinese Communism, a collection of documents with explanatory introductions mainly written by Prof. Schwartz and Conrad Brandt and published in 1952; and that in 1958 Prof. Fairbank reasserted the ‘Maoist’ thesis . . .”

Even after Soviet advisers had abruptly withdrawn from China following Mao Zedong’s announcement of his radical Great Leap Forward in 1958, Wittfogel continued to dismiss as “fictitious” the suggestion that the CCP might act contrary to the desires of Moscow. To Wittfogel’s mind, the claim that China’s alternative revolutionary tradition had facilitated a tendency toward nationalistic independence, although ostensibly academic, betrayed a nefarious political motive: “This argument, known as the ‘Maoist’ thesis, is historical in form, but political in content.”

Chiding Schwartz and company for an “inadequate understanding of the doctrinal and political Marxist-Leninist background,” Wittfogel accused them of having concocted a “legend of ‘Maoism’.” Former Communist and member of the Frankfurt School of critical theory that he was, Wittfogel assumed the role of doctrinal arbiter: “The authors of the Documentary History, who created the ‘Maoist’ myth in 1951-52, had ample opportunity in subsequent studies of Chinese thought to correct their errors. But instead of doing so, they kept repeating their key conclusions . . . based on an inadequate reproduction of Lenin’s ideas ... and on the misrepresentation of Mao’s behavior.”

The “faulty views” of Schwartz and his colleagues were politically dangerous, Wittfogel contended, because they undermined American resolve to win the Cold War: “Their damaging consequences are not restricted to their impact on purely academic understanding. For the political confusion they have engendered has strongly affected opinion-molders and policy-makers in this country, and has thus hampered the development of a clear,
consistent and far-sighted policy for coping with the Chinese Communist threat. In this important respect, these views have done a distinct disservice to the free world . . . . The survival of the free world hangs in the balance.\textsuperscript{21}

In Wittfogel’s account, the dangers posed by “Maoism” had spread far beyond the ivory tower, yet he looked to the academy for rectification:

It has been said that the battle of Waterloo was won on the playing fields of Eton. Today, the ideas which the scholars and opinion-molders hold are no less crucial for the decisions the policy-makers will make. Where, then, we may ask, are the schools, the universities, the foundations and research centers that will determine victory – or defeat – in the present cold war?\textsuperscript{22}

Public intellectuals imbued with the proper political outlook, he suggested, were needed to fill the breach.

With Harvard having allegedly concocted a dangerous “‘Maoist’ thesis,” another academic institution would have to produce the antidote. Thankfully, such a remedy was close at hand due to the scholarly efforts of Wittfogel and his cold-warrior colleagues (George Taylor, Franz Michael, Donald Treadgold, and others) who had assembled at the University of Washington’s newly founded Far Eastern and Russian Institute. Wittfogel claimed to speak for the group: “It is vital to our survival that the record be set straight, and a small but growing number of Far Eastern specialists are doing just that. A realistic comparative study of the historical roots of Chinese and Soviet Communism is possible. And such a study enables us to remove the widespread misconceptions regarding the character and intent of the present Chinese and Soviet regimes.”\textsuperscript{23} Among the many publications by scholars at the Far Eastern and Russian Institute expounding on the totalitarian model, no doubt Wittfogel had in mind above all his own forthcoming Oriental Despotism: A Comparative Study of Total Power.\textsuperscript{24} Wittfogel’s study attributed the origins of Chinese and Russian totalitarianism to age-old traditions of state domination in both societies.

Irritated by the barrage of criticism directed at him and his colleagues, the usually unflappable Benjamin Schwartz returned fire with a sharp rejoinder entitled “The Legend of the ‘Legend of Maoism’.” “For some years now,” he wrote, “Prof. Wittfogel has been obsessed with the view that Fairbank, Schwartz and Brandt (an indivisible entity) have committed an ‘error’ (not an accidental error!) which has led to incalculably evil results in our struggle with world Communism.”\textsuperscript{25} Schwartz rejected “Wittfogel’s conception of Marxism-Leninism as a ‘doctrine and strategy of total revolution,’ as a ready-made science of power with established recipes for dealing with all situations – a science which is never surprised by new contingencies.”\textsuperscript{26} Instead of this formulaic totalism, Schwartz reminded readers that his primary goal in Chinese Communism and the Rise of Mao had been to trace the actual process by which Mao gained control of the Chinese Communist movement, creating the conditions for Maoism to become the dominant strategic and policy line within the CCP. The implications of Maoist departures (in practice if not always in acknowledged ideological doctrine) were, moreover, continuing to unfold: “the end of the story is not yet in sight.”\textsuperscript{27}

Schwartz readily acknowledged that in seeking to explain the opaque development of Chinese Communism, “we have all committed errors,” but he emphasized that his own conception of Maoism derived from an effort to understand the lived experience of Mao and his comrades as they groped in fits and starts toward a workable strategy of revolution. As such, his empirical method differed fundamentally from Wittfogel’s theoretically-predetermined mode of scholarship, whose claim for correctness
resided in a supposedly authoritative grasp of Marxist-Leninist doctrine. Schwartz called on Wittfogel to discard his superiority complex in favor of a less rigid approach: “It is in fact high time that Prof. Wittfogel overcame the illusion that his particular experiences and his particular ‘theories’ vouchsafe for him some peculiar access to an understanding of Communism not available to the rest of us.”

Published some seven decades ago, Benjamin Schwartz’s study of Maoism has remarkable resonance today for our understanding of ideology in contemporary China as well as for our methods of scholarship. His grounded yet dynamic conception of ideology—as an articulation of practical strategy on the part of individual leaders with important implications for subsequent political developments—is a useful corrective to arguments that Xi Jinping Thought can be ignored simply because it does not make major theoretical advances beyond Mao Zedong Thought, to say nothing of classical Marxism-Leninism. Xi himself presents his ideas as building on three central principles of Mao Zedong Thought: “seeking truth from facts” (pragmatism), the “mass line” (populism), and “independent sovereignty” (patriotism). The core concepts are repurposed to address contemporary challenges. Manifestly motivated by a desire to avoid what he regards as fatal missteps of Soviet leaders from Khrushchev to Gorbachev that led to the eventual collapse of the USSR, Xi—much like Mao at Yan’an—strives to sum up key lessons extracted from the CCP’s own experience as it has departed from the Russian prototype. Benjamin Schwartz was ahead of his day in realizing that Communist leaders were not necessarily more restrained by doctrinal orthodoxy than other politicians. But, he insisted, this did not mean that their ideology or utterances were insignificant; on the contrary, their speeches and writings provide critical insight into the origins and long-term implications of their political strategies.

For Schwartz, in the end the crucial point was less Mao’s doctrinal deviation than the claim to ideological originality on the part of a leader whose concrete political accomplishments had made him confident enough to seek to project his and his country’s influence on the world stage. As Schwartz observed in 1965, this process of asserting the CCP’s ideological independence, first evident in Yan’an, accelerated after 1956 following Khrushchev’s denunciation of Stalin and Mao’s launch of the Hundred Flowers Campaign, as the PRC gradually distanced itself from the Soviet orbit in favor of declaring an alternative “Maoist vision.” Roderick MacFarquhar would describe the events of 1956-57 as “a major turning point in the history of the People’s Republic,” marked by Mao’s advocacy of a “new militancy at home and abroad” that would ultimately result in the Cultural Revolution.

Schwartz’s characterization of the Maoist vision of 1956 could easily have been written of the work report delivered by Xi Jinping at the 19th Party Congress some sixty years later:

The vision involves not only a conception of the good society of the future but also a sanctified image of the methods by which this vision is to be achieved. Certainly Marxist-Leninist-Stalinist ideology is one of the main sources of this vision, but this does not preclude the possibility that in some of its aspects it coincides with certain traditional Chinese habits of thought and behavior.

Xi’s three hour and twenty minute work report touted the value of “Chinese wisdom” and the “Chinese approach” in crafting political solutions for global challenges. As he stated boldly, “We have every confidence that we can give full play to the strengths and distinctive features of China’s socialist democracy, and make China’s contribution to the political advancement of mankind.” Xi took a page right out of Mao’s Hundred Flowers playbook by zeroing in on what he identified as the
“principal contradiction” (主要矛盾) currently facing Chinese society; namely, “the people’s ever-growing need for a better life” versus the country’s “unbalanced and inadequate development.” Setting a date of 2035, two decades in the future, for the full attainment of “socialist modernization,” the CCP’s paramount leader offered a familiar formula for reaching this future vision: the Communist Party must continue to “lead in everything.”

(http://www.straitstimes.com/asia/east-asia/19th-party-congress-7-key-themes-from-president-xi-jinpings-work-report)

History does not repeat itself, but contemporary CCP theoreticians and propagandists do comb the historical record for ideological inspiration and legitimation. Studies of the Maoist past are therefore of more than academic interest in understanding current and future political developments. While it would be facile to equate the disquiet generated in the Communist world at the time of Mao’s Hundred Flowers Campaign, in the wake of destalinization and the Hungarian Revolt, with the current disarray in the capitalist world, brought about by Brexit and the Trump presidency, catalytic moments of international disorder do seem to create opportunities for the assertion of an alternative Chinese ideological authority. Such openings merit systematic comparative attention.

In trying to plumb the enduring importance of CCP ideology, however, the post-Mao China field until very recently has offered few signposts. For nearly four decades after Mao’s death, political scientists largely acceded to Deng Xiaoping’s famous maxim that the “black cat, white cat” distinction did not matter; under the pragmatic imperatives of market reforms, the ideological correctness of the Mao era had seemingly been relegated to the dustbin of PRC history. In reality, of course, Deng’s formulation of “Socialism with Chinese Characteristics” carried its own ideological and political implications, as would Jiang Zemin’s “Three Represents” and Hu Jintao’s “Scientific Development Outlook.” But under Xi Jinping, ideology in the PRC has reclaimed an explicit primacy and global ambition that scholars can no longer ignore; from Xi’s articulation of a “China Dream” to his latest “Thought for a New Era,” the project of publicizing and popularizing the “visionary” ideas of the top leader again occupies a commanding place on the CCP’s agenda. The astonishing amount of Propaganda Department support earmarked for the study of Xi Jinping’s “theoretical innovations” attests to the priority that the Party puts on this all-out ideological effort.

Like Mao Zedong, Xi Jinping portrays his vision as a continuation of China’s revolutionary tradition. In his 2020 New Year’s greeting, Xi recalled retracing the route of the Red Army so as to tap into an “inexhaustible source of motivation during our Long March of the New Era.” Xi, like Mao, also stresses his close connection to the peasantry: “As usual, no matter how busy I was, I spent time visiting people in the countryside.” Despite this apparent endorsement of revolutionary populism, Xi’s own tightly disciplined governance is actually a far cry from Mao’s tumultuous rule. Xi Jinping’s obsession with Party control is more reminiscent of the leadership style of Mao’s nemesis, former head of state Liu Shaoqi, than of the mercurial Great Helmsman himself. Yet Xi shares with Mao a penchant to herald the Chinese experience as a development model with wide reaching application. Even after the stain of the Covid-19 crisis, in his speech before the UN General Assembly in September 2020 Xi Jinping unabashedly hailed China’s “new development paradigm” as a post-pandemic panacea for global recovery.

Communist parties are prone to portray their ideology as a blueprint for future action, but classic studies of ideology reveal that it is more
usefully regarded as a summation of past and present experience: “The pedigree of every political ideology shows it to be the creature, not of premeditation in advance of political activity, but of meditation upon a manner of politics. In short, political activity comes first and a political ideology follows after.” As Benjamin Schwartz recognized, when the CCP spotlights the “visionary” thought of its paramount leader, it is presenting an authoritative outline of what it deems to be proven practical political theory.

Benjamin Schwartz’s work has much to teach us not only about the legacy of Maoism and its contemporary relevance, but about research methods more generally. His admonitions against a doctrinaire mindset that makes truth claims based on adherence to theoretical orthodoxy are well worth remembering. If these days few scholars attempt to force their analyses into the old procrustean bed of Marxist-Leninist Theory, other theoretical straightjackets can nonetheless be found in abundance. From Rational Choice Theory at one pole to Post-Modern Theory at the other, social scientists and humanists alike advance arguments on grounds of stale theoretical authority rather than fresh research discovery. While Schwartz’s scholarship was certainly not atheoretical, his theories – like Maoism itself – derived from empirical investigation. Citing a Hunan proverb, Mao Zedong once likened the Chinese revolution to straw sandals; with no preset pattern, they “shaped themselves in the making.”

Benjamin Schwartz’s Chinese Communism and the Rise of Mao adopts a similarly open-ended and responsive approach. The 19th Congress of the Chinese Communist Party in October 2017 offered poignant reminders that even in heralding a “New Era” guided by Xi Jinping Thought, the CCP self-consciously recalls previous chapters in its eventful past. A banner festooned across the back wall of the auditorium in the Great Hall of the People proclaimed, “不忘初心” (Don’t forget our original intention). To be sure, the Party’s claims to historical continuity are often highly contrived, but its assertions of revolutionary and cultural lineage are nonetheless central to its identity. Xi Jinping himself often invokes the adage “吃水不忘挖井人” (When drinking the water, don’t forget those who dug the well) – a phrase associated with Mao’s legacy. At the opening ceremony of the 19th Party Congress, he called upon delegates to bow their heads in silence to remember the contributions of Chairman Mao and other early leaders of the CCP. Taking a cue from those whose history and politics we study, we too might be advised at this advent of a “new era” to recall the achievements of our own intellectual ancestors.

Notes


2 Benjamin I. Schwartz, Chinese Communism and the Rise of Mao (Cambridge: Harvard University Press, 1951). The Chinese Communist Party itself has always referred to Mao’s ideological contributions simply as “Mao Zedong Thought” (毛泽东思想), in contrast to the “isms” (主义) of Marxism, Leninism, and Stalinism. In coining the term “Maoism,” Schwartz was thus implying a degree of originality and importance that elevated Mao into the pantheon of leading Communist theorists.

3 Schwartz, 1951: 189.

4 Schwartz, 1951: 199, 2.


7 Schwartz, 1951: 201.


9 Schwartz, 1951: 5.


14 Schwartz, 1955: 76.


1960): 76, 73. On the occasion of his sixtieth birthday in 1967, John Fairbank would “confess” to this “conspiracy” by composing some humorous doggerel that concluded with the lines:

The files, when examined, will demonstrate
That this “Fairbank” so-called was a syndicate
Who were busy writing memos and in other ways

19 Wittfogel, (Jan-March) 1960: 75.
22 Wittfogel, 1959: 17.
26 Schwartz, 1960: 36.
28 Schwartz, 1960: 42.
34 The historical parallel is far from exact, however: at the 8th Party Congress in 1956, Mao’s Thought was dropped from the Party Constitution.
36 That more than twenty major Chinese universities within a week of the 19th Party Congress had already
(https://qz.com/1114975/the-19th-communist-party-congress-chinese-universities-are-falling-over-themselves-toteach-xi-jinping-thought/) established new departments for the teaching of Xi’s Thought is further evidence of its political significance